

32692 Customer Number 15mg

Patent

Case No.: 56202US049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

HEPPNER, PHILIP D.

Application No.:

10/772170

Group Art Unit:

1625

Filed:

February 4, 2004

Examiner:

Aulakh, Charanjit

Title:

AMIDE SUBSTITUTED IMIDAZOPYRIDINES

AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION	[37	CFR §	1.8(a)]
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I hereby certify that this correspondence is being:

- deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

January 21, 2005

Signed by Virginia Bergstrom

Dear Sir:

This is in response to the outstanding Office Action, dated 10/21/2004, in the above-identified application.

Upon review of the file, it was noted that the initialed Form PTO-1449 filed with the Supplemental Information Disclosure Statement dated October 14, 2004 has not been received. It is requested that the Examiner initial this Form PTO-1449, a copy of which is enclosed for the Examiner's convenience, and return it to the undersigned agent.

This Amendment is believed to be timely submitted. It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.





Patent

Case No.: 56202US049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

HEPPNER, PHILIP D.

Application No.:

10/772170

Group Art Unit:

1614

Filed:

February 4, 2004

Examiner:

Unknown

Title:

AMIDE SUBSTITUTED IMIDAZOPYRIDINES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION	137	CFR	8 1.8	i(a)	1
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☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

October 14, 2004

Signed by Virginia Bergstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.

Copies of any cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual

Application No.: 10/772170

COPY

Case No.: 56202US049

designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

13 OCTOBER 2004

Date

Dean A. Ersfeld, Reg. No.: 46,689 Telephone No.: (651) 733-7830

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO / jd)	Application Number	10/772170
INFORMATION DISCLOSURE	Filing Date	February 4, 2004
	First Named Inventor	Heppner, Philip D.
STATEMENT BY APPLICANT	Art Unit	1614
(Use as rathy sheets as necessary P	Examiner Name	Unknown
JAN 2 4 2005 gage 1 of 1	Attorney Case Number	56202US049
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	TRAD	EMP	U.S. Patent Documents				
Exam. (Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where		
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 10527 - I

First Named Inventor: HEPPNER, PHILIP D.

Application Of

Case No.: 56202US049

File Number

Application No.: 10/772170

Titled

Title: AMIDE SUBSTITUTED IMIDAZOPYRIDINES

Enclosures:

Pages Of Sp

Information Disclosure Statement, Form PTO-1449, and cited references.

Amount charged to Deposit Account: \$0.00

Attorney (initials): DAE/vlb

Date: October 14, 2004

Draft Includer

Attorney (initi

commonly owned. This agreement is to run with the Subject Patent and to be binding upon the grantee, its successors, or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any Subject Patent that would extend to the expiration date of the full statutory term as defined in 35 USC §§ 154 to 156 and 173 of the First Patent or Second Patent, as presently shortened by any terminal disclaimer filed prior to the grant of the First Patent or Second, in the event such First Patent or Second Patent later: (1) expires for failure to pay a maintenance fee; (2) is held unenforceable or is found invalid by a court of competent jurisdiction; (3) is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321; (4) has all claims canceled by a reexamination certificate; (5) is reissued; or (6) is otherwise terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer filed prior to the grant of such First Patent or Second Patent.

Documents establishing the chain of title of the Subject Application and of the First Patent and of the Second Patent (including the aforementioned assignments and recording locations) have been reviewed and I certify that, to the best of my knowledge and belief, title is in Petitioner.

I declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 USC § 1001 and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Please charge the fee provided in 37 CFR § 1.20(d) and, if necessary, charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted

20 T

Date

Dean A. Ersfeld, Reg. No.: 46,689
Telephone No.: (651) 733-7830

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833